The Effect of Obstacle Height on Balance Control While Stepping Over an Obstacle From a Position of Quiet Stance in Older Adults

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Purpose: The purpose of this study was to examine the effect of an obstacle height on the balance control of older adults while stepping over an obstacle from a position of quiet stance.

Methods: Fifteen community-dwelling healthy older adults (mean age, 74.4±4.27 yrs; age range, 67–82 yrs) volunteered to participate in this study. The subjects performed gait initiation (GI) and they stepped over obstacles of two different heights (10 cm and 18 cm) at a self-paced speed from a position of quiet stance. Their performance was assessed by recording the changes in the displacement of the COP in the anteroposterior (A-P) and mediolateral (M-L) directions using a force platform.

Results: The M-L displacement of the COP significantly increased for an 18 cm obstacle height condition as compared to the GI and a 10 cm obstacle height condition (p<0.01). Furthermore, the M-L displacement of the COP for a 10 cm high obstacle was significantly greater for that for the GI (p<0.01). However, the mean of the A-P displacement of the COP was similar between the stepping conditions for the A-P displacement of the COP (p>0.05).

Conclusion: This study suggests that the M-L COP displacement could be a better parameter to identify the dynamic balance control in older adults when negotiating obstacles.

Keywords: Balance, Falls, Gait initiation, Obstacle crossing

I. 서론

Falls in the elderly represent a challenge to the health care system and to society. Approximately one-third of persons aged 65 and older are expected to fall every year. Accidental falls in the elderly are the leading cause of injuries and the most common cause of hospital admissions for trauma.

Although falls in the elderly are multifaceted and heterogeneous, tripping over an obstacle during gait is one of the leading causes of falls in the elderly. There have been numerous studies describing how healthy young and elderly adults cross obstacles. For both young and older adults, the presence of an obstacle has been shown to increase toe clearance and the obstacle-crossing step length for higher obstacles. An increase in the knee and hip flexion angles and the moments of the hip, knee, and ankle joints for higher obstacles have also been reported. The obstacle-crossing speed decreases with higher obstacles.

Compared to young adults, while crossing an obstacle, older adults showed a slower approach speed, a slower crossing speed and a shorter in step length and they appear to...
position both the lead and trail foot relatively farther from the step edge.9,10 It seems that older adults use less efficient strategies than young adults to modify and adapt walking patterns while crossing an obstacle. However, previous reports have only investigated the spatiotemporal variables of obstacle crossing and not the potential underlying mechanisms for the diminished abilities of the elderly on obstacle avoidance.

The center of pressure (COP) is the point of application of the ground reaction forces (GRFs) on the platform and the COP is commonly used as an indicator of balance and postural control.11 Previous studies12,14 have reported that with aging and disability, the anteroposterior (A-P) and mediolateral (M-L) COP displacement decreased, leading to insufficient momentum during gait initiation (GI), which may cause older adults to fall.15 For example, older adults demonstrated a reduced capability to generate a COP shift and a smaller magnitude of the peak COM-COP moment arm (measurement of the COM-COP distance) as compared to young adults during GI.12,14 However, these studies have mostly focused on unobstructed level walking, but not the process of actually crossing an obstacle. The purpose of this study was to examine the effect of obstacle height on balance control while stepping over an obstacle from a position of quiet stance in healthy older adults.

II. Methods

1. Subjects

Fifteen community-dwelling healthy older adults (mean age, 74.4±4.27 yrs; age range, 67–82 yrs) volunteered to participate in this study. Inclusion criteria for the older participants was a Berg Functional Balance Scale16,17 score>50, a Frenchay Instrumental Activities of Daily Living18 score>50 and a Physical Function19 score>20. All participants scored greater than 25 on the Mini Mental Status Examination.20 These tests are considered reliable and valid based on previous studies.21,23 The subjects had no history of neurological or orthopedic problems that prevented them from participation in the study. All of the elderly participants reported no falls in the previous 12 months. All participants signed a written informed consent form prior to participation in the study. The subject characteristics and scores of questionnaires and functional tests are summarized in Table 1 and 2.

<table>
<thead>
<tr>
<th>Age (yrs)</th>
<th>Height (cm)</th>
<th>Weight (kg)</th>
<th>Sex</th>
</tr>
</thead>
<tbody>
<tr>
<td>74.4±4.27</td>
<td>157.47±3.91</td>
<td>52.13±7.56</td>
<td>7/8 (male/female)</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Type</th>
<th>MMSE</th>
<th>BFBS</th>
<th>FIADL</th>
<th>APF</th>
</tr>
</thead>
<tbody>
<tr>
<td>Score</td>
<td>28.5±1.22</td>
<td>54.5±1.25</td>
<td>53.5±1.34</td>
<td>27.4±1.56</td>
</tr>
</tbody>
</table>

Table 2. Questionnaires and functional tests

2. Equipment

A force platform (Advanced Mechanical Technology, Inc, Newton, MA, USA), embedded in a level walkway (5 m in length and 1.22 m in width), measured ground reaction forces of walking. Amplified force platform signals were sampled online at a rate of 1000 Hertz for 3 seconds (Advanced Mechanical Technology, Inc, Watertown, MA, USA). The COP data were analyzed using BioAnalysis v2.0 software (Advanced Mechanical Technology, Inc, Watertown, MA, USA). Two different obstacles (10 cm in height, 10 cm in depth and 140 cm in width and 18 cm in height, 10 cm in depth and 140 cm in width, respectively) were used for obstacle clearance.

3. Procedures

For each trial, subjects stood in a predetermined position on a force platform. Subjects then initiated gait or stepped over an obstacle at a self-paced speed, with the right limb in response to auditory cues. Subjects completed two practice trials and approximately five successful experimental trials under the following conditions:

1. GI
2. Stepping over a 10 cm high obstacle
3. Stepping over an 18 cm high obstacle

All conditions were presented in a random order.

4. Data Analysis

One-way repeated analysis of variance (ANOVA) was used to determine the main effects. In case of significance paired contrast analyses were conducted. Statistical significance was indicated at p<0.05 and p<0.01. The independent variable was the stepping condition (GI, a step over a 10 cm high obstacle, a step over an 18 cm high obstacle). The dependent variables included A-P and M-L displacement of the COP. The A-P (or M-L) displacement of the COP was
defined as the total distance (or difference) between the minimum and maximum A-P (or M-L) COP location for the length of time either the left or right foot was in contact with the force platform. Statistical software SPSS 14.0 KO (SPSS, Chicago, IL, USA) was used for the statistical analyses.

### III. Results

Comparisons of the COP data between GI, stepping over a 10 cm high obstacle, and stepping over an 18 cm high obstacle were analyzed for the A-P and M-L displacement of the COP. There was a significant difference between the stepping conditions for the M-L displacement of the COP (p<0.01) (see Figure 1). The mean value for the 18 cm high obstacle of the M-L displacement of the COP was greatest followed by the mean values for the 10 cm high obstacle and for GI of the M-L displacement of the COP. The mean value for the 18 cm high obstacle for the M-L displacement of the COP was 124% greater as compared to the combined mean M-L displacement of the COP for GI and for the 10 cm high obstacle (p<0.01). The mean value for the 10 cm high obstacle for the M-L displacement of the COP was 115% greater as compared to the mean M-L displacement of the COP for GI (p<0.01). However, no significant difference in the A-P displacement of the COP magnitude between the stepping conditions was observed.

The increased M-L COP displacement required for the 18 cm high obstacle indicated that elderly subjects had more difficulty in maintaining dynamic stability in the frontal plane on obstacle crossing as compared to GI and for a 10 cm obstacle height. It is reasonable to expect that an 18 cm obstacle height condition was more challenging than a 10 cm obstacle height and GI conditions for obstacle crossing. This observation suggests that stepping over a higher obstacle significantly affected control of dynamic balance during obstacle crossing, resulting in a greater displacement of the COP in the M-L direction. A higher obstacle (18 cm) crossing required significantly greater momentum of external knee flexion, hip adduction and ankle dorsiflexion at the supporting limb as compared to GI.5,7 Furthermore, a longer duration of the single limb support was required for a higher obstacle crossing.6,24 The longer duration of the swing limb support may be necessary to provide enough time to stabilize the COP to cross a higher obstacle and to maintain body balance.25 It has been suggested that the proper control of the M-L COM motion and its coordination with the COP are important to maintain the lateral balance that is highly related to an increased risk of lateral falls in the elderly.26,27

### IV. Discussion

The purpose of this study was to examine the relationship between obstacle height and the COP trajectory when older adults negotiate obstacles of different heights. The elderly subjects differently modulated the M-L displacement of the COP magnitude while stepping over obstacles of different height or initiating gait. However, no significant difference in the A-P displacement of the COP magnitude between the stepping conditions was observed.

### Table 3. The COP parameters (cm) during gait initiation and obstacle crossing

<table>
<thead>
<tr>
<th>COP parameter</th>
<th>Gait initiation</th>
<th>10cm obstacle</th>
<th>18cm obstacle</th>
</tr>
</thead>
<tbody>
<tr>
<td>M-L displacement (cm)*</td>
<td>15.39±3.45</td>
<td>17.76±2.44</td>
<td>20.6±3.76</td>
</tr>
<tr>
<td>A-P displacement (cm)</td>
<td>13.67±2.24</td>
<td>13.87±1.54</td>
<td>12.18±2.56</td>
</tr>
</tbody>
</table>

*Significant main effect for stepping condition (p<0.05).
†p<0.01, ‡p<0.01, §p<0.01

Somewhat surprisingly, the elderly subjects were successfully able to maintain A-P COP displacement, regardless of the stepping conditions. There were no significant differences in the displacement of A-P COP between stepping conditions.
This is may be due as the elderly shifted the anterior trunk in the anterior direction prior to obstacle crossing, and subsequently straightened the trunk into a vertical position towards the end of the obstacle crossing as obstacle height increased.28 This shift of the upper body in the anterior direction and subsequently straightening the trunk into a vertical position may increase the A-P (Fx) ground reaction force, thus generating momentum necessary for crossing an obstacle.14 This suggests that, as obstacle height increased, the elderly subjects were able to generate the momentum necessary for forward propulsion.

These findings could represent different strategies of obstacle avoidance of the elderly on the kinetic responses in the M-L and A-P directions. Significant difference in the displacement of M-L COP magnitude between the stepping conditions also suggests that momentum-generation capacity relative to frontal plane weight shift in the elderly subjects were more affected as compared to weight transfer in A-P directions.

The current study has several limitations. There is a relatively small sample of older adults. Furthermore, the participants in this study were of a high functional level; thus, the study population may not be representative of community dwelling older adults who have mobility deficits. Finally, future studies should explore COP trajectory behavior in a wide variety of clinical populations, such as subjects with neurological and orthopedic deficits or diseases as well as a diverse set of activities.

V. Conclusion

The COP trajectory appears to modulate in the presence of different obstacle heights. The M-L COP displacement increased as the obstacle height increased. However, the A-P COP displacement did not change as the obstacle height increased. These findings suggest that the M-L COP displacement could be a better parameter to identify dynamic balance control when negotiating obstacles as compared to the A-P displacement of COP. The results also suggest that an investigation of the COP trajectory could be an important tool to measure the mechanisms of normal and/or pathological gait patterns or age-related changes of gait.

Author Contributions
Research design: Kim HD
Acquisition of data: Kim HD
Analysis and interpretation of data: Kim HD
Drafting of the manuscript: Kim HD
Administrative, technical, and material support: Kim HD
Research supervision: Kim HD

References